PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7:
H03H

A2

(11) International Publication Number: WO 00/65713

(43) International Publication Date: 2 November 2000 (02.11.00)

(21) International Application Number: PCT/EP00/03273

(22) International Filing Date: 12 April 2000 (12.04.00)

(30) Priority Data: 99201234.4 22 April 1999 (22.04.99) EP

(71) Applicant: KONINKLIJKE PHILIPS ELECTRONICS N.V. [NL/NL]; Groenewoudseweg 1, NL-5621 BA Eindhoven

(72) Inventors: VAN DEN ENDEN, Adrianus, W., M.; Prof. Holst-laan 6, NL-5656 AA Eindhoven (NL). SUERMONDT, Rutgerus, E., E., F.; Prof. Holstlaan 6, NL-5656 AA Eindhoven (NL). ARENDS, Marc, V.; Prof. Holstlaan 6, NL-5656 AA Eindhoven (NL).

(74) Agent: SCHOENMAKER, Maarten; Internationaal Octrooibureau B.V., Prof Holstlaan 6, NL-5656 AA Eindhoven (NL).

(81) Designated States: CN, JP, KR, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).

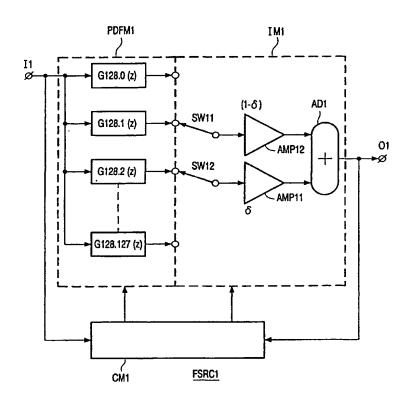
Published

Without international search report and to be republished upon receipt of that report.

(54) Title: SAMPLE RATE CONVERTER

(57) Abstract

Sample rate converters are known, and are used to convert a signal with a first sample rate (sampling frequency) into a signal with a second sample rate (sampling frequency). To obtain a flexible sample rate converter with sampling frequencies that are not known beforehand, until now only sample rate converters with very high intermediate sampling frequencies are known. The invention provides a flexible sample rate converter, which is able to handle unknown input and output sampling frequencies. This is achieved by using polyphase decomposition filter means in combination with interpolation means.



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

| AL | Albania | ES | Spain | LS | Lesotho | SI | Slovenia |
|----|--------------------------|----|---------------------|----|-----------------------|----|--------------------------|
| AM | Armenia | FI | Finland | LT | Lithuania | SK | Slovakia |
| AT | Austria | FR | France | LU | Luxembourg | SN | Senegal |
| ΑŪ | Australia | GA | Gabon | LV | Latvia | SZ | Swaziland |
| ΑZ | Azerbaijan | GB | United Kingdom | MC | Monaco | TD | Chad |
| BA | Bosnia and Herzegovina | GE | Georgia | MD | Republic of Moldova | TG | Togo |
| BB | Barbados | GH | Ghana | MG | Madagascar | TJ | Tajikistan |
| BE | Belgium | GN | Guinea | MK | The former Yugoslav | TM | Turkmenistan |
| BF | Burkina Faso | GR | Greece | | Republic of Macedonia | TR | Turkey |
| BG | Bulgaria | HU | Hungary | ML | Mali | TT | Trinidad and Tobago |
| ВJ | Benin | IE | Ireland | MN | Mongolia | UA | Ukraine |
| BR | Brazil | IL | Israel | MR | Mauritania | UG | Uganda |
| BY | Belarus | IS | Iceland | MW | Malawi | US | United States of America |
| CA | Canada | IT | Italy | MX | Mexico | UZ | Uzbekistan |
| CF | Central African Republic | JP | Japan | NE | Niger | VN | Viet Nam |
| CG | Congo | KE | Kenya | NL | Netherlands | YU | Yugoslavia |
| СН | Switzerland | KG | Kyrgyzstan | NO | Norway | zw | Zimbabwe |
| CI | Côte d'Ivoire | KP | Democratic People's | NZ | New Zealand | | |
| CM | Cameroon | | Republic of Korea | PL | Poland | | |
| CN | China | KR | Republic of Korea | PT | Portugal | | |
| CU | Cuba | KZ | Kazakstan | RO | Romania | | |
| CZ | Czech Republic | LC | Saint Lucia | RU | Russian Federation | | |
| DE | Germany | LI | Liechtenstein | SD | Sudan | | |
| DK | Denmark | LK | Sri Lanka | SE | Sweden | | |
| EE | Estonia | LR | Liberia | SG | Singapore | | |
| ļ | | | | | | | |

Sample rate converter

5

10

15

The invention relates to a sample rate converter.

Sample rate converters are known in the art and are used to convert a digital signal with a first (input) sample rate (sampling frequency) into a digital signal with a second (output) sample rate (sampling frequency). The sample rate can be increased (up-converter) or decreased (down converter).

Such sample rate converters are required when processing signals of a system using a first sampling rate in a system, which uses a second sampling rate. For example compact disc uses 44.1 kHz, digital audiotape uses 48 kHz and satellite broadcasting uses 32 kHz.

If the ratio between the original frequency and the target frequency is not a whole number, known sample rate converters need a very high intermediate conversion frequency. Further a multiplicity of circuits and filters use multiple stages and the number of sets of coefficients that are necessary grows in proportion to the intermediate frequency. Further more these methods do not allow different target frequencies to be selected using a single circuit.

In US-A-5,349,548 and US-A-5,625,581 non-integral delay circuits are
described that can be used in such a sample rate converter.
From US-A-4,748,578 a sample rate converter is known which has a selectable output frequency, but with a high intermediate frequency.

From US-A-5,559,513 a sample rate converter is known that tries to solve the complexity of known sample rate converters by using first an up-conversion with a factor N (the required resolution of the output signal) creating an intermediate signal, followed by a linear interpolation between two adjacent samples of the intermediate signal based on the ratio between the input and the output sample rate.

A disadvantage of this known sample rate converter is that the linear interpolator that is used herein has to calculate all samples at the high sample rate. Further a moving time averager is used to supply a sum signal to the linear interpolator.

PCT/EP00/03273

An object of the invention is to overcome the disadvantages of the prior art and further to obtain a sample rate converter which both can be used as up-converter and as down-converter using the same circuit.

To this end a first aspect of the invention provides a sample rate converter as defined in claim 1.

The sample rate converter of the present invention has as advantages that it is only necessary to calculate the samples that are necessary instead of calculating all the samples as the prior art sample rate converters do. Further a moving time averager is not necessary.

This is achieved by realizing that by using the combination of polyphase decomposition filter means and interpolation means a very efficient flexible sample rate converter can be obtained.

A considerable smaller number of calculations per second are needed and a considerable smaller number of coefficients have to be calculated and stored.

Further the sample rate converter is able to handle arbitrary input and output sampling frequencies.

The only thing that has to be known beforehand is the required suppression of the so-called mirror spectra and the necessary relative bandwidth. On the basis of this information the filter-coefficients are chosen and these coefficients can be used for both the up-sampler as the down-sampler.

25

30

5

10

15

20

An embodiment of a sample rate converter according to the invention comprises the features of claim 2.

By adding an auxiliary up-converter in front of the series-arrangement, the operation of the flexible sample rate converter is further improved. By using the auxiliary up-converter the up-conversion can be splitted in more stages, which improves the performance.

An embodiment of a sample rate converter according to the invention comprises the features of claim 3.

An embodiment of a sample rate converter according to the invention comprises the features of claim 4.

By using the measures of the invention it is possible to use the flexible sample rate converter for both up- as for down-conversion.

Further the filters are beforehand determined on the basis of the required image suppression (mirror spectra) and necessary relative bandwidth.

5

10

15

20

25

30

converter.

Further embodiments are described in the other dependent claims.

The invention and additional features, which may optimally be used to implement the invention to advantage, will be apparent from and elucidated with reference to the examples described below hereinafter and shown in the figures. Herein shows:

Figure 1 a block schematic example of a sample rate converter as an upconverter,

Figure 2 a functional example of a flexible sample rate converter as an up-

Figure 3 a blocks schematic example of a sample rate converter as a down-converter, and

Figure 4 a functional example of a flexible sample rate converter as a down-

Figure 1 shows a first example of a flexible sample rate converter FSRC1 embodied as an up-converter according to the invention having an input I1 and an output O1. This flexible sample rate converter comprises a series-arrangement of polyphase decomposition filter means PDFM1 and interpolation means IM1.

Further the flexible sample rate converter comprises control means CM1 that control the operation of the polyphase decomposition filter means PDFM1 and the interpolation means IM1.

In this context flexible means that the actual ratio between the input and output sampling frequencies does not have to be known in advance. Instead, the required amount of suppression of the images created in the conversion process has to be known. These images may lead to unwanted aliasing. This information and the relative bandwidth are needed to design the interpolating filters.

The polyphase decomposition filter means PDFM1 comprises in this example 128 polyphase branches (G128,0 (z)-G128,127 (z)). In this example the outputs of the polyphase branches are coupled to switches SW11 and SW12 of the interpolation means IM1. The interpolation means further comprises a first and a second amplifier AMP11 and AMP12, whereby the amplifier AMP11 amplifies the received signal with a factor δ and whereby the amplifier AMP12 amplifies the received signal with a factor (1- δ).

The outputs of the amplifiers are coupled to an adder AD1 that supplies the summed signal to the output O1 of the flexible sample rate converter FSRC1.

10

15

20

25

30

5

The control means CM1 determines the value of δ . Further the control means determines which pair of samples has to be calculated. , in case of linear interpolation.

Figure 2 shows a functional example of a flexible sample rate converter FSRC2 as an up-converter. The flexible sample rate converter comprises in this example a series-arrangement of first up-conversion means UCM21, first filter means FM21, second up-conversion means UCM22, second filter means FM22 and down conversion means DCM2. By splitting the up-conversion in two stages with filter means in between the efficiency of the sample rate converter is improved. The transition band of the first filter means can be chosen very narrow and the transition band of the second filter means can be chosen very broad.

Figure 3 shows a practical example of a flexible sample rate converter as a down-converter FSRC3 having an input I3 and an output O3. This flexible sample rate converter comprises a series-arrangement of interpolation means IM3 and polyphase decomposition filter means PDFM3 having Ko branches (GKo,0 (z)-GKo,Ko-1 (z)). Further the flexible sample rate converter comprises control means CM3 for controlling the operation of the interpolation means and the polyphase decomposition filter means.

The flexible sample rate converter according to this example (as down-converter) is the transposed version of the flexible sample rate converter (as up-converter, see figure 1), in this way it is possible to use the same flexible sample rate converter for both up-and down-conversion by interchanging the input I3 and the output O3. The man skilled in the art is well aware of the changes to be performed to obtain the transposed circuit.

Figure 4 shows a functional example of a flexible sample rate converter as a down-converter FSRC4, whereby between an input I4 and an output O4 a series-arrangement of up-converting means UCM4, first filter means FM41, first down-conversion means DCM41, second filter means FM42 and second down-conversion means DCM42 is placed. The factors can be chosen as required, whereby Ko and K1 are fixed integers and L<=Ko*K1. The first filter means FM41 can be implemented as a linear interpolator.

The invention has been described on the basis of some examples. The man skilled in the art will be well aware of a lot of variations falling within the scope of the invention.

5

10

Instead of the described linear interpolation it is also possible to use a higher order interpolation in case this is required. For example to obtain a more precise estimation of the sample to be interpolated.

Further one or more up-conversion stages can be added, for example to lower the up-conversion factor of the other stages.

CLAIMS:

5

25

- 1. Flexible sample rate converter comprising a series-arrangement of polyphase decomposition filter means and interpolator means, whereby one side of the series-arrangement is coupled to an input of the flexible sample rate converter for receiving an input signal with a first sampling frequency and the other side of the series-arrangement is coupled to an output for supplying an output signal with a second sampling frequency, and the flexible sample rate converter comprises control means for controlling the polyphase decomposition filter means and the interpolation means.
- 2. Flexible sample rate converter as claimed in claim 1, characterized in that the flexible sample rate converter comprises an auxiliary up-converter with an up-conversion of at least two, whereby in operation the sampling frequency or frequencies used in the flexible sample rate converter are lower than or equal to the highest frequency of the input and output sampling frequencies multiplied with the auxiliary up-conversion factor.
- 15 3. Flexible sample rate converter as claimed in claim 1, characterized in that the flexible sample rate converter is an up-converter whereby the input is coupled to the polyphase decomposition filter means and the output is coupled to the interpolation means.
- Flexible sample rate converter as claimed in claim 1, characterized in that the
 flexible sample rate converter is a down converter whereby the input is coupled to the interpolation means and the output is coupled to the polyphase decomposition filter means.
 - 5. Flexible sample rate converter as claimed in claim 1, characterized in that the interpolation means are linear interpolation means.
 - 6. Flexible sample rate converter as claimed in claim 1, characterized in that the interpolation means is higher order interpolation means.

7. Flexible sample rate converter as claimed in claim 1, characterized in that the polyphase decomposition filter means comprise 128 polyphase branches whereby only those samples that have to be interpolated are determined under control of the control means.

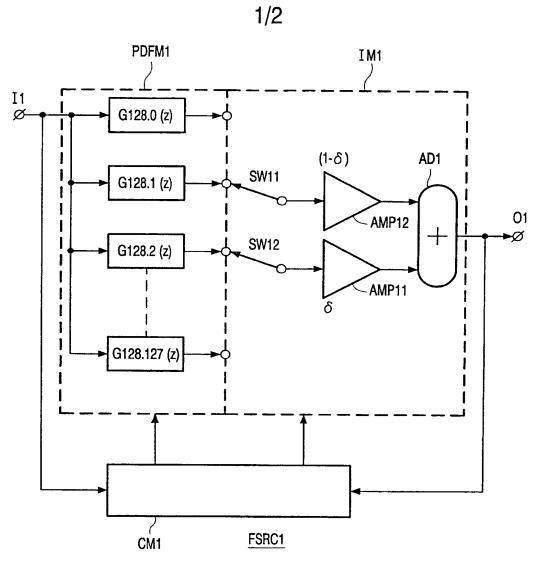


FIG. 1

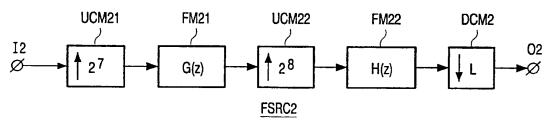
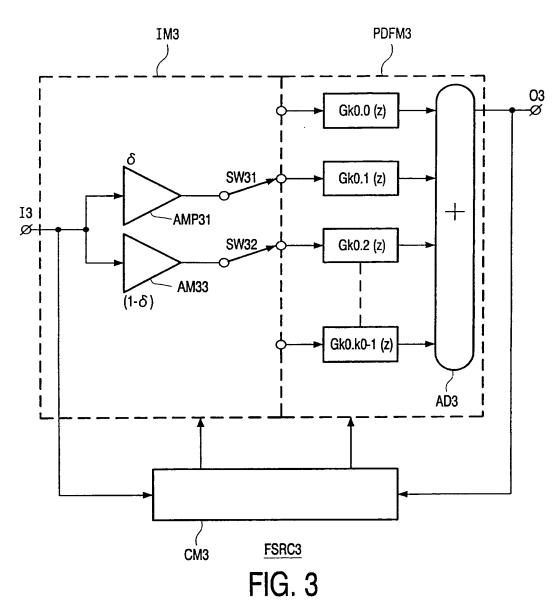
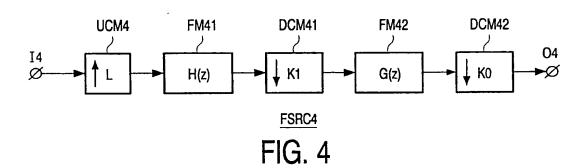


FIG. 2





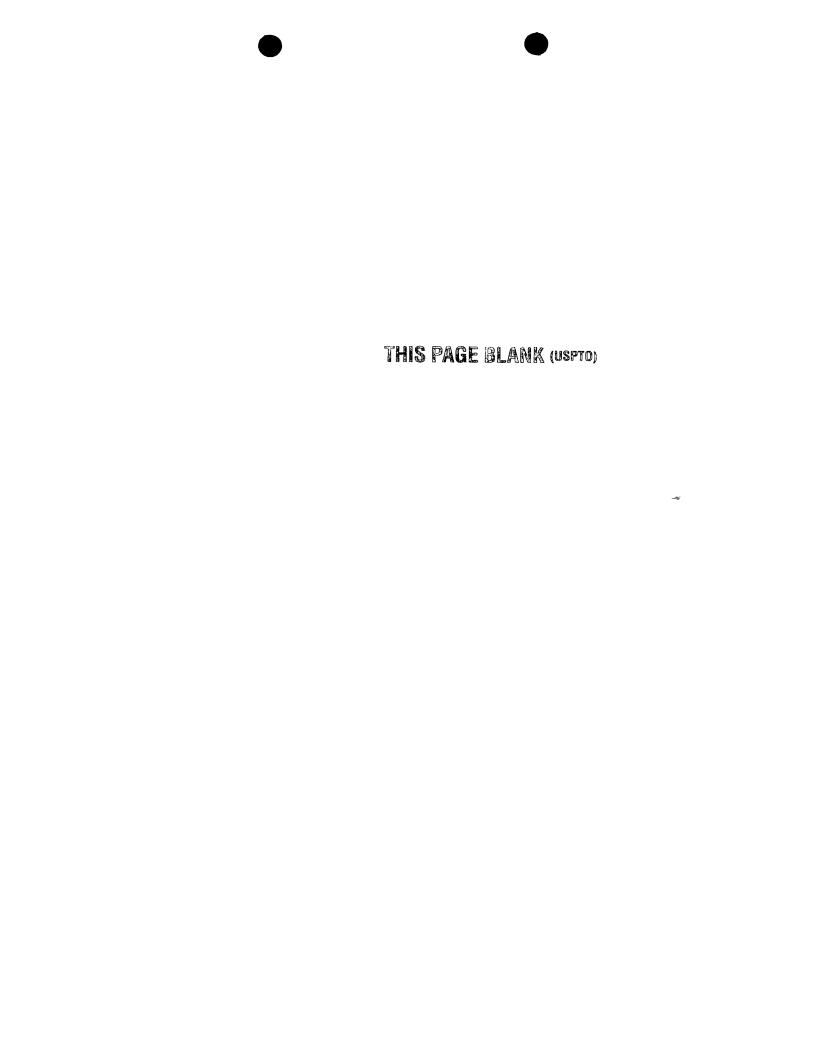






ational Application No PCT/IB2004/050323

| A. CLASSI IPC 7 | FICATION OF SUBJECT MATTER H03H17/06 | | |
|------------------------|---|---|--|
| According to | o international Patent Classification (IPC) or to both national classific | ation and IPC | |
| B. FIELDS | SEARCHED | | |
| Minimum do IPC 7 | ocumentation searched (classification system followed by classification H03H | on symbols) | |
| Documental | tion searched other than minimum documentation to the extent that s | such documents are included in the fields so | earched |
| Electronic d | ata base consulted during the international search (name of data ba | se and, where practical, search terms used |) |
| EPO-In | ternal, WPI Data, PAJ, COMPENDEX, II | NSPEC, IBM-TDB | |
| C. DOCUM | ENTS CONSIDERED TO BE RELEVANT | | |
| Category ° | Citation of document, with Indication, where appropriate, of the rei | evant passages | Relevant to claim No. |
| X | WO 00/65713 A (KONINKL PHILIPS EL NV) 2 November 2000 (2000-11-02) | LECTRONICS | 1,9-11 |
| Y | page 2, line 5 - line 24; figures | s 2,4 | 2-8 |
| Υ | US 5 157 395 A (DEL SIGNORE BRUCI 20 October 1992 (1992-10-20) column 3, line 22 - column 4, lin figure 1 | | 2–5 |
| Υ | US 5 485 152 A (SOBOL JAMES M E 16 January 1996 (1996-01-16) column 2, line 34 - line 54 | r AL) | 6-8 |
| Α | US 5 892 695 A (VAN DALFSEN AGE 6 April 1999 (1999-04-06) column 4, line 8 - line 52; figui | | 1-11 |
| Furt | her documents are listed in the continuation of box C. | X Patent family members are listed | in annex. |
| ° Special ce | itegories of cited documents: | "T" later document published after the inte | rnational filing date |
| "A" docume | ent defining the general state of the art which is not lered to be of particular relevance | or priority date and not in conflict with cited to understand the principle or the invention | the application but |
| "E" earlier of | document but published on or after the International tate | "X" document of particular relevance; the cannot be considered novel or cannot | claimed invention t be considered to |
| "L" docume which | ent which may throw doubts on priority claim(s) or is cited to establish the publication date of another n or other special reason (as specified) | involve an inventive step when the do "Y" document of particular relevance; the o | cument is taken alone staimed invention |
| "O" docum | nt of other special reason (as specified) ent referring to an oral disclosure, use, exhibition or means | cannot be considered to involve an In document is combined with one or ma ments, such combination being obvious | ore other such docu- |
| "P" docume later to | ent published prior to the International filing date but han the priority date claimed | In the art. *&* document member of the same patent | family : |
| Date of the | actual completion of the international search | Date of mailing of the international sea | rch report |
| 1 | 2 October 2004 | 21/10/2004 | |
| Name and | mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 | Authorized officer | |
| | Nii 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016 | Lecoutre, R | |
| | · · · · · · · · · · · · · · · · · · · | • | |



INTERNATIONAL SEARCH REPORT

Inte: xial Application No PCT/EP 00/03273

| | | I | |
|--|---|--|--|
| A. CLASSIF IPC 7 | FICATION OF SUBJECT MATTER H03H17/06 H03H17/02 | - | |
| According to | International Patent Classification (IPC) or to both national classific | ation and IPC | · |
| B. FIELDS | SEARCHED | | |
| Minimum do IPC 7 | cumentation searched (classification system followed by classificati H03H | on symbols) | |
| Documentati | ion searched other than minimum documentation to the extent that a | such documents are includ | ded in the fields searched |
| Electronic da | ata base consulted during the international search (name of data ba | se and, where practical, | search terms used) |
| C. DOCUME | ENTS CONSIDERED TO BE RELEVANT | | |
| Category ° | Citation of document, with indication, where appropriate, of the re | levant passages | Relevant to claim No. |
| X | US 5 274 372 A (LUTHRA AJAY K E 28 December 1993 (1993-12-28) | T AL) | 1,3,5 |
| A | the whole document | | 2,7 |
| Α | WO 98 34346 A (SHARP KK) 6 August 1998 (1998-08-06) page 8, line 18 -page 11, line 7 | ; figure 2 | 4 |
| | · | | |
| Furt | her documents are listed in the continuation of box C. | X Patent family r | nembers are listed in annex. |
| "A" documer consider the considered of the consi | ent which may throw doubts on priority claim(s) or is cited to establish the publication date of another in or other special reason (as specified) lent referring to an oral disclosure, use, exhibition or means ent published prior to the international filing date but than the priority date claimed | or priority date and cited to understand invention "X" document of particular cannot be conside involve an inventive document of particular cannot be conside document is combinents, such combin the art. "&" document member | ished after the international filing date in not in conflict with the application but if the principle or theory underlying the dar relevance; the claimed invention red novel or cannot be considered to estep when the document is taken alone dar relevance; the claimed invention red to involve an inventive step when the ined with one or more other such docuination being obvious to a person skilled of the same patent family |
| | actual completion of the international search O August 2000 | Date of mailing of t | the international search report |
| | mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31–70) 340–2040, Tx. 31 651 epo nl, Fax: (+31–70) 340–3016 | Authorized officer Coppiet | |

INTERNATIONAL SEARCH REPORT

information on patent family members

Inte onal Application No
PCT/EP 00/03273

| us | 5274372 | A | 28-12-1993 | DE | 4336331 A | 28-04-19 |
|----|---------|---|------------|------|-----------|----------|
| | ·•· - | | | GB | 2271905 A | |
| | | | | JP | 6204797 A | 22-07-19 |
| WO | 9834346 | A | 06-08-1998 | NONE | | |



INTERNATIONAL SEARCH REPORT

Information on patent family members

PCT/IB2004/050323

| Patent document cited in search report | | Publication date | | Patent family member(s) | Publication date |
|--|-------|---------------------|----|-------------------------|------------------|
| WO 0065713 | Α | 02-11-2000 | CN | 1371548 T | 25-09-2002 |
| 110 0000720 | • • • | 02 11 2000 | WO | 0065713 A2 | 02-11-2000 |
| | | | ĒΡ | 1114511 A1 | 11-07-2001 |
| | | | JP | 2002543651 T | 17-12-2002 |
| | | | US | 6411225 B1 | 25-06-2002 |
| US 5157395 | Α | 20-10-1992 | FR | 2673779 A1 | 11-09-1992 |
| US 5485152 | Α | 16-01-1996 | US | 5625358 A | 29-04-1997 |
| | | | US | 5528240 A | 18-06-1996 |
| | | | US | 5574454 A | 12-11-1996 |
| | | | US | 5552785 A | 03-09-1996 |
| | | | EP | 0719477 A1 | 03-07-1996 |
| | | | JP | 9502847 T | 18-03-1997 |
| | | | WO | 9508220 A1 | 23-03-1995 |
| | | | WO | 9531860 A1 | 23-11-1995 |
| | | | US | 5497152 A | 05-03-1996 |
| | | | US | 5963160 A | 05-10-1999 |
| | | | US | 5892468 A | 06-04-1999 |
| US 5892695 | Α | 06-04-1999 | DE | 69708841 D1 | 17-01-2002 |
| | | | DE | 69708841 T2 | 22-08-2002 |
| | | | EP | 0870364 A2 | 14-10-1998 |
| | | | MO | 9819396 A2 | 07-05-1998 |
| | | | JP | 2000504520 T | 11-04-2000 |

